

To all accredited and applicant Certification Bodies for the certification scheme Management Systems for Supply Chain Security in conformity.

To the associations of conformity assessment bodies.

To all the DC department assessors and experts.

OGGETTO **Certification and Inspection Department**

Informative circular DC n.41/2022 - Provisions on transition and management of new accreditations of Certification Bodies (CBs) that certify against the SMSSC (Security Management Systems for the Supply Chain) certification scheme.

Dear Sirs,

with this Circular we inform you that, in March 2022, ISO published the new edition (2022) of the standard ISO 28000.

As indicated in the standard, we provide below a summary of the main modifications regarding:

- the alignment of requirements with reference to the HS (Harmonized Standard) scheme;
- the inclusion of recommendations in the principles referred to in chapter 4 in order to ensure alignment with the provisions of ISO 31000;
- greater integration with the 2019 edition of ISO 22301 with specific reference to chapter 8.5 of the standard in question: safety strategies and plans.

The related level 4 standard - ISO 28003:2007 (UNI ISO 28003:2020) - which contains additional accreditation requirements applicable to Bodies operating in this conformity assessment scheme, is currently in force but, as indicated on the ISO website, the review process is currently being carried out.

We specify that for this conformity assessment scheme, no specific resolutions have been issued at international level indicating the transition terms as occurred in the past for other certified contexts and, therefore, the principles of the document IAF PR 7:2022 will apply, and specifically the provisions of par. 3 of annex 4 which, for greater clarity, we report here: "***If not otherwise defined in requirements (issued for example by standardization bodies, scheme owners, IAF), the transition period to the revised document is 2 years***".

Therefore, on the basis of the indications contained in this document, the timeframes of the various phases of the transition process that the accredited/applicant CABs and organizations which are certified or in the process of obtaining certification shall respect, will therefore be as follows:

Activity	Duration	Deadline
Full transition period	2 years from date of publication	31.03.2024
Completion of the transition by accredited CABs	9 months from date of publication ¹	31.12.2022
Conduct of periodic audits by CABs exclusively against the new edition of the standard	1 year from date of publication of the standard	31.03.2023
Completion of the transition of organizations certified by CABs	2 years from date of publication of the new edition of the standard	31.03.2024

For the management of transition, accredited or applicant CABs must first send ACCREDIA a signed copy of the declaration referred to in Annex 1 and a copy of the transition plan (see Annex 2 as an example).

Management of new accreditation applications and applications currently being processed

With effect from 31 October 2022 ACCREDIA will no longer accept accreditation applications against the old edition of the standard.

For any accreditation procedures in progress, before submitting the file to the Committee and in any case within the transitional timeline for CABs as indicated above, under penalty of closure of the application, the verification of compliance with the new standard which will consist in the conduct of a document review of 1 day.

Management of accreditations of already accredited CABs

Any NCs relating to the CB's accreditation process, with reference to the new standard, which emerged during the transition assessments, must be managed by the CAB through corrective actions that must be sent to ACCREDIA.

¹ In cases where the planned surveillance of the scheme during the accreditation cycle has already been carried out, a document review of transition lasting 1 day must be carried out within the foreseen deadline with costs met by the CAB and possibly in remote connection with the CAB.

Records must be made available to demonstrate that all NCs issued by ACCREDIA relating to the new standard have been closed with the implementation of adequate corrective actions (verification of implementation and effectiveness), before the ACCREDIA Management can express to its Sector Accreditation Committee a recommendation of approval for the transition of the SMSSC accreditation scheme to the standard ISO 28000:2022.

After the deadline of 31.3.2024, all accreditations that still refer to the standard ISO 28000:2007 (UNI ISO 28000:2020) shall be withdrawn.

Please contact use for any clarifications.

With kind regards,

Dott. Emanuele Riva

Director Department
Certification and Inspection

Attachment 1 – Declaration of the accredited Conformity Assessment Body²

I (name of CAB responsible officer) declare that at the date of this declaration, (name of the CAB):

- have implemented the required changes in this transition;
- have adapted the system to the requirements necessary for the transition;
- have evidence of implementation of the above.

I also acknowledge as follows:

- ACCREDIA, which has accredited the CAB, will review the implementation of the transition against this declaration at the next assessment;
- a false or incorrect declaration constitutes a violation of the accreditation requirements for which adequate treatments and corrective actions will be necessary. If not adequately resolved within the timelines established by ACCREDIA, this will result in the suspension or withdrawal of accreditation;
- the following activities were completed:
 - preparation of the transition plan;
 - review of documentation to support transition and review requirements;
 - competences have been reviewed and the CAB has sufficient staff (e.g. for review of the application, of the decision) considered competent;
 - the CAB has a process for determining the duration of the audit that supports the review of the certification program (if applicable);
 - the CAB has a process for managing the control of certifications to the new revision of the standard and which includes (a) the issuance of accredited certificates only after the AB's transition decision and (b) the management of expiries for previously issued certificates.

Signature: _____

Name: _____

Title: _____

Full name of the CAB: _____

Date: _____

² This declaration has been prepared in accordance with Annex 4 of IAF document PR 7:2022

Attachment 2 – Example of a plan for transition to ISO 28000:2022

The CAB, which wishes to use this module for the preparation of its own transition plan, must complete it and make it available to ACCREDIA before the transition assessment and in any case no later than 30 November 2022. It is possible to attach further documentation if considered useful for the purpose of a correct assessment.

1.	Has a document been prepared in which the elements confirmed, modified and introduced for the first time in the 2022 revision of the standard compared to the 2007 version have been analyzed?	
	Are the actions taken by the CAB, described below, consistent with the analysis carried out?	
	<p><u>Space reserved for the CAB</u></p> <p><i>Space for recording the planned actions including timelines and persons responsible for their completion.</i></p> <p><i>Report the reference to any additional documental evidence attached.</i></p>	
	<p><u>Space reserved for ACCREDIA</u></p> <p><i>Space for recording the sampled evidence for the assessment of the closure of the planned action.</i></p> <p><i>If the action is reported in the adjacent column as being still open, specify what still needs to be completed and the expected timeline for completion.</i></p> <p><i>In the case of issuance of findings, enter specific reference to the finding reported in the assessment report.</i></p>	Action C <input type="checkbox"/> O <input type="checkbox"/>
2.	How will the risk assessment be updated?	
	<p><u>Space reserved for the CAB</u></p>	
	<p><u>Space reserved for ACCREDIA</u></p>	Action C <input type="checkbox"/> O <input type="checkbox"/>

3.	How will communication with customers be managed regarding management of the transition?	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>
4.	With what modalities and timelines will the training of commercial personnel, contract review personnel and audit program personnel be managed?	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>

5.	With what modalities and timelines will the training of auditors be performed and evaluated?	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>
6.	With what modalities and timelines will the training of decision-makers be performed and evaluated?	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>

7.	Which documents of your CAB will need to be modified, and with what timeframe will they be distributed and made applicable?	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>
8.	Additional considerations you want to add to better explain how you will manage this transition. Remember to attach supporting evidence/documentation to this plan.	
	<u>Space reserved for the CAB</u>	
	<u>Space reserved for ACCREDIA</u>	Action C <input type="checkbox"/> O <input type="checkbox"/>